1. Introduction

Without any doubt, students, translators, interpreters, linguists, researchers, or expert and non-expert readers may encounter several complexities when considering a legal document. Due to this reason, they need to have a clear understanding of these legal records in order to accomplish their tasks. Within the wide variety of legal documents, one of the most important ones is the judgment. However, judgments reveal a considerable structural complexity, especially those from the Common Law system, which had its origin in England, and it was widely extended to other countries for centuries. This legal system, also known as English Law, is basically characterised as the non-existence of a written code and, as a consequence, it lies on the precedent. Due to this reason, unlike judgments from Civil Law (also Continental Law), a more strictly codified legal system inherited from the Roman Law and adopted in continental Europe and spread to other countries, Common Law judgments have a much more flexible structure than Civil Law ones. As a result of this elaborate structure, the comprehensive understanding of these documents seems to be harder to achieve.

The main goal of this research is twofold. On the one hand, our intention is to avoid that understanding uncertainty to those who may deal with these Common Law judgments, especially scholars who are more familiarised with codified judgments from Civil Law. Therefore, we have analysed a corpus of Common Law judgments by using the macrostructure analysis, created and further developed by the Dutch linguist Teun Adrianus van Dijk in the late 1970s and early 1980s. Thanks to this theory, the documents analysed can be
clearly fragmented and described with a semantic unity that may articulate them internally. On the other hand, such a linguistic description may reveal certain properties that each of the judgments shares, even though there exists an enormous variety of structures that make these documents difficult to understand.

2. Literature Review

Although the German linguist Bierwisch was the first scholar to use this term in 1965, the macrostructure theory has been studied for years. Many researchers have considered this theory in order to do some studies in several fields, although the linguist Teun A. van Dijk conducted some research at the end of the 1970s and in the early 1980s, based on Literary Theory, General Discourse Theory, Pragmatics, and Cognitive Psychology.

Nevertheless, there have been other researchers who studied macrostructure, discourse analysis, and judgments in detail. Due to the big amount of studies, below we mention some of them:

Kintsch and van Dijk (1978) proposed a macrostructural model that could semantically help in the text comprehension and production.

Van Dijk and Kintsch (1983), who had a thorough explanation of discourse analysis and macrostrategies.

Bower and Cirilo (1985) also pointed out that the Cognitive Psychology acquires and represents the world knowledge, as well as its subsequent organization by means of different lexical levels.

Nwogu (1997) carried out a study of the structure information in medical research papers.

Wodak and Meyer (2001: 21), who applied it to the cognitive use of Psychology.

More recently, we can mention the following studies:

Villamir Portilla (2004), who did a more specific study of judgments in Colombia.

Hall-Mills (2010), who made an analysis of writings from elementary students.

Holl (2011) studied German and Spanish divorce judgments.

Lara Chagoyán (2011) conducted some research of judgment structures in Mexico.


Macris (2018), who used macrostructure theory in a literary analysis.


Children were the target research for other macrostructure experts, such as Hall-Mills and Apel (2015) and Appose and Karuppali (2018).

3. Research Question

This study has a principal purpose, which is to offer an answer to the subsequent question:

How can we establish a macrostructural analysis in the heterogenous structure of court judgments from England and Wales?

4.1 Materials

The main aim of this study is the compilation of a digital corpus of 25 court judgments from England and Wales. The analysis of this corpus is addressed to set a macrostructural pattern in order to make Common Law
court judgments, highly heterogeneous and flexible, more understandable to potential readers from Translation, Foreign Language, Linguistics and Law studies, among others.

These court-related documents were compiled by selecting modern judgments (from 2013 to 2016), with different issues and from different parts of England and Wales. In addition, this research also took into account the different Courts in England and Wales in order to select a proportional number of judgments in each of those Courts in order to cover a wide scope of the legal bodies in both countries.

4.2 Procedure

All these judgments from the digital corpus were thoroughly read and analysed by considering certain factors. Firstly, the method of analysis was based on Teun A. van Dijk’s macrostructural theory. This scientific-based theory points out the internal structure of the documents by means of a semantic interpretation of the content that is included inside them.

Van Dijk (1980a: V) defines “macrostructure” as

[a] higher-level semantic or conceptual structures that organize the ‘local’ microstructures of discourse, interaction, and their cognitive processing. They are distinguished from other global structures of a more schematic nature, which we call superstructure. These are, so to speak, the global ‘form’ of the macrostructural ‘content’.

This macrostructural study sheds light on the complex structure of court judgments in England and Wales. This research analysed, fragmented and detailed the internal semantically-based composition of these judgments by using different tables to disclose a method to describe the structure of these court documents.

The text analysis reveals some complete information about each of the macrostructural sections in which court judgments are divided. Nevertheless, we have considered to make a further explanation by incorporating our own division in order to identify clearly the common sections in each and every judgment that has been studied. Our sections are displayed in the table 1 below:

<table>
<thead>
<tr>
<th>Table 1. Macrostructural sections of judgments from England and Wales</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heading: introductory section. It includes extralinguistic parts (coat of arms, codes), name of the Court, name of the parties, name of the Judge, Lord, or Lady, date and name of the solicitors.</td>
</tr>
<tr>
<td>Main body: main and essential part of the judgments, the main body states the explanation of the case, the solicitors’ statements, together with the evidence and further explanations from the judgment. This is the most complex part of the judgment, as it reveals a Judge-made disposition and fragmentation.</td>
</tr>
<tr>
<td>Closure: final part of the judgment. It comprises the Judge’s resolution of the case.</td>
</tr>
</tbody>
</table>

Thus, the following section will deal with a more detailed description of those macrostructural sections. In this way, we will observe the most noticeable features of court judgments that have been analysed after the research.

5. Results

5.1. Heading

The heading of court judgments from England and Wales is located at the very beginning of them, preceeding the date. This section shows some variety in the elements that compose the macrostructural section. Due to the complexity of this first section, we believe it would be appropriate to list the most distinctive characteristics observed in these judgments.

First of all, the coat of arms, a distinctive extralinguistic element, appears at the top of the court judgments.
In addition, the heading “Judiciary of England and Wales” appears below the coat of arms, although it does not appear in all our judgments from England and Wales, so they will be explained in a table below.

The third noticeable element is the code number. This feature is slightly more complex, since such a code is not included in all the judgments. In some of them, we can observe a “Neutral Citation Number” in the left column, and a “Case Number” on the right hand side of the document. In some others, however, such codes are omitted.

The Neutral Citation Number, introduced in England and Wales judgments in 2001, is a unique way to cite judgments by the HM Courts and Tribunal Services in the United Kingdom. This number refers to a judgment itself. Holborn describes this as follows:

… as a consequence of the much wider availability of unreported cases from electronic sources, and because of electronic promulgation of law reports series, the Lord Chief Justice issued on 11 January 2001 the Practice Direction (Judgments: Form and Citation) [2001] 1 W.L.R. 194. This introduced three matters: the provision of a court-assigned number for every judgment; paragraphing of judgments; and the authorisation of the citation before the courts of reported cases derived from an electronic version of the reports. The first two innovations together provide a so-called ‘neutral citation’, which uniquely identifies a case, and a passage in it, whether or not it is reported. This comprises the year, an abbreviation for the court, the number of the case, and then any reference to a particular paragraph in square brackets. Thus:


This refers to case no 10 of the Court of Appeal (Civil Division) – EW for England and Wales – of 2001 and a passage at para 30. The Practice Direction initially applied to judgments of the Court of Appeal, Civil and Criminal Divisions, and the Administrative Court (Queen’s Bench cases on judicial review, etc). It is to be extended in due course to the other Divisions of the High Court. The House of Lords has also adopted the practice – its abbreviation is UKHL, reflecting its wider jurisdiction.

Holborn, 2006: 187

Those Neutral Citation Numbers were gradually introduced in England and Wales judgments as follows:

- **Neutral Citation Number adopted from 11th January 2001:**

  House of Lords: [year] UKHL number.

  Privy Council: [year] UKPC number.

  Privy Council (Devolution cases): [year] UKPC D number.

  England and Wales Court of Appeal (Civil Division): [year] EWCA Civ number.

  England and Wales Court of Appeal (Criminal Division): [year] EWCA Crim number.


  (From 2002): [year] EWHC number (Admin).

- **Neutral Citation Number adopted from 14th January 2002:**

  England and Wales High Court (Chancery Division): [year] EWHC number (Ch).

  England and Wales High Court (Patents Court): [year] EWHC number (Pat).

  England and Wales High Court (Queen’s Bench Division): [year] EWHC number (QB).
England and Wales High Court (Commercial Court): [year] EWHC number (Comm).
England and Wales High Court (Admiralty Court): [year] EWHC number (Admlty).
England and Wales High Court (Technology and Construction Court): [year] EWHC number (TCC).
England and Wales High Court (Family Division): [year] EWHC number (Fam).
England and Wales High Court (Mercantile Court): [year] EWHC number (Mercantile).
(NB If using Westlaw, this style of citation is not recognised; the citation is instead the same as that of Queen’s Bench judgments, i.e. [2008] EWHC 11 (QB).)

- **Neutral Citation Number adopted from 1st October 2009:**
  
  UK Supreme Court: [year] UKSC number.

- **Neutral Citation Number adopted from 22nd April 2014:**
  
  England and Wales Family Court: [year] EWFC number.
  England and Wales Court of Protection: [year] EWCOP number.
  Even though tribunals are not part of our study, several of them also have Neutral Citation Numbers:
  Competition Appeal Tribunal: [year] CAT number.
  Upper Tribunal (Administrative Appeals Chamber): [year] UKUT number (AAC).
  Upper Tribunal (Immigration and Asylum Chamber): [year] UKUT number (IAC).
  Upper Tribunal (Lands Chamber): [year] UKUT number (LC).
  Upper Tribunal (Tax and Chancery Chamber): [year] UKUT number (TCC).

The following information provided by the analysed judgments from England and Wales is the court name (and division, when applicable), together with the location of the hearing, both of them on the left hand side of the document.

The following element is the Royal Court of Justice, address, and the date of publication, located on the right. Next, the name of the Judge, Lord or Lady who delivers the judgment. Below this information, this study states some external information from the procedural scope, as the name of the parties. Such macrostructure is common to several judgments from England and Wales.

Below it, we can observe the name of the solicitors or Queen’s counsels of both parties in all the judgments analysed in the digital corpus. Finally, the hearing date and, in most of them, additional notes, such as “Approved Judgment” (which indicates that such a judgment has been previously reviewed before its publication).

The following table summarises the initial section of judgments from England and Wales (table 2):

<table>
<thead>
<tr>
<th>[United Kingdom Coat of Arms]</th>
</tr>
</thead>
<tbody>
<tr>
<td>[In Magistrates’ Court, some of the County Court and Crown Court.]</td>
</tr>
<tr>
<td>[Royal Court of Justice Coat of Arms]</td>
</tr>
<tr>
<td>[High Court, Court of Appeal and Family Court]</td>
</tr>
<tr>
<td>[Magistrates’ Court]</td>
</tr>
<tr>
<td>[Crown Court]</td>
</tr>
</tbody>
</table>
5.2. Main Body

The main body of court judgments from England and Wales develops a remarkable variety of macrostructures, as this is the longest section of the document. Its length varies from two to three paragraphs to more than 10 in many cases, with an average of six paragraphs.

The main body begins with the name of the Judge, Lord or Lady, and it finishes with the conclusion of the judgment. Due to the length of this part of the judgment, we can notice a great macrostructural variety in the
analysed corpus, so it is essential to provide an insight of our results with the aim of pointing out the most remarkable aspects of these court documents.

In the first part of the main body, our judgments introduce again the name of the Judge, Lord or Lady who pronounces the judgment, except in judgments passed at the Magistrates’ Court and in one coming from the Crown Court.

The “Introduction” of the case begins the main body of the judgments from England and Wales, and it explains the unlawful act of the case. This section has a great selection of possible headings, such as “Introduction”, “Introduction and Factual Background”, “Introduction and Executive Summary”, “The Background Facts”, “(The) Background”, “Essential Background” or “Some Common Ground”.

Such introduction details the parties’ identities, their background (especially those of the Defendant-s), the charges, together with the statements and evidence from both parties.

However, this first part of the main body has a clear distinction when it comes to appeal cases (some of the judgments from the Family Court, and those from the Court of Appeal). In those situations, this initial part introduces the previous judgment as well as appropriate legislation for such appeal. We now mention the next judgments:

- Case number [2014] EWHC 3311 (Fam), High Court Family Division.
- We can also observe the introduction of the pertinent legislation in appeal cases, such as:
- Case name: The Court of Appeal (Palermo) v Domenico Rancadore, Magistrates’ Court.
- Case number [2014] EWHC 185 (Ch), High Court Chancery Division.
- Case number [2015] EWHC 1183 (CH), High Court Chancery Division.

The next macrostructural section is called “The Agreed Facts”, “The History of Proceedings”, “This Case”, “Summary of Facts”, “The Facts” or “Factual Background”, and it is sometimes linked to the previous macrostructural section, previously explained. This has some common features in most of the judgments:

Firstly, judgments enumerate chronologically the unlawful events. This feature is noticeable in judgments coming from the Family Court, such as under the following heading: “The History of the Proceedings: from [day] [year] to [month] [year]”. Some of those descriptions have a great length, such as some judgments from the High Court.

In addition, this section gives some details about the Defendant-s, the pertinent legislation of the case, and the precedent of the previous judgment, such as the case number [2016] EWHC 503 (Fam) from the Family Division, introduced under the title “Threshold”. The Judges introduce all the required evidence to such a case, as it has been observed in video recordings (case Regina vs Paul Meehan from the Magistrates’ Court) or mobile messages (case number [2015] EWFC 26 from the Family Court).

From the second macrostructure we can observe a considerable distinction in judgments from England and Wales. Such complexity is based on the Judge’s opinion (Ratio Decidendi) in each and every point of the arguments to be considered for their final conclusion.

This second part of the macrostructure includes the witness and the solicitors’ statements with sometimes more than 90 paragraphs, such as the Case Number [2014] EWHC 185 (Ch) from the High Court (Chancery Division).

There is not, therefore, a single heading that may entail this macrostructural section. Instead, the analysis
shows a great diversity of contents under several headings of the main body. Such a complex macrostructure of the main body is detailed in the following summarised table (table 3):

**Table 3. Macrostructural summary of the Main Body**

<table>
<thead>
<tr>
<th>Section</th>
<th>Content</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>(First section)</strong></td>
<td><strong>Introduction</strong>&lt;br&gt;[Not included in all the judgments]&lt;br&gt;Introduction and executive summary&lt;br&gt;Introduction and factual background&lt;br&gt;Application&lt;br&gt;Explanation of the case. Description of each party.&lt;br&gt;Case precedent.&lt;br&gt;Charges (Defendant).&lt;br&gt;Statements from each party. Claimant’s statement.&lt;br&gt;Claimant’s arguments.&lt;br&gt;Legislation.</td>
</tr>
<tr>
<td><strong>[Courts of Appeal. Civil and Criminal Division]</strong></td>
<td>Conclusion from the previous judgment.&lt;br&gt;Explanation and further details from the previous judgment.&lt;br&gt;Explanation from the previous Judge.&lt;br&gt;Previous legislation.</td>
</tr>
<tr>
<td><strong>(Second section)</strong></td>
<td><strong>(The) (essential) Background</strong>&lt;br&gt;The background facts / Factual background&lt;br&gt;The agreed facts / (Summary of) Facts&lt;br&gt;The context&lt;br&gt;Chronology of the previous event.&lt;br&gt;Description of the Defendant.</td>
</tr>
<tr>
<td><strong>[In appeal cases]</strong></td>
<td>Previous judgment.</td>
</tr>
<tr>
<td><strong>(Third section)</strong></td>
<td><strong>The (expert) evidence</strong>&lt;br&gt;The evidence (of the Claimant)&lt;br&gt;The Claimant’s / Defendant’s witnesses&lt;br&gt;Witness statements.&lt;br&gt;Evidence (recordings, photographs…).&lt;br&gt;Precedents.&lt;br&gt;Psychological, medical reports…</td>
</tr>
<tr>
<td><strong>(Fourth section)</strong></td>
<td><strong>Legislation</strong>&lt;br&gt;The law (The authorities).&lt;br&gt;Legal framework.&lt;br&gt;The relevant legislation.&lt;br&gt;Legal framework.</td>
</tr>
<tr>
<td><strong>(Fifth section)</strong></td>
<td><strong>The defendant’s / Expert’s evidence</strong>&lt;br&gt;The witnesses&lt;br&gt;Evolution of the allegations&lt;br&gt;The arguments&lt;br&gt;The issue&lt;br&gt;Defendant and witness statements.&lt;br&gt;Additional explanations. Further evidence.</td>
</tr>
</tbody>
</table>
5.3. Closure

We have called the third section of the judgments from England and Wales “Closure”, and it closes all court judgments from England and Wales. This final macrostructure includes the judgment conclusion, although it may provide additional information that we will further develop in this part of our study.

Most of the judgments under study include the section “Conclusion”. Nevertheless, such a macrostructure may present other names or, in some cases, the conclusion does not have an independent section from the previous one. This situation is frequent, as we can observe in the following cases:

- Case Number [2016] EWHC 503 (Fam) from the High Court (Family Division).
- Case Number [2014] EWHC 185 (Ch) from the High Court (Chancery Division).

As it was previously stated, some judgments from England and Wales have an additional part that gathers other elements, as for instance Case Number 7LS 574445 Miles Oliver Taylor v Richard Jennison Bell and Robert Chester Haworth from the County Court, which includes an in-depth explanation of the costs.

Finally, we summarise the Closure macrostructure in the table below:

<table>
<thead>
<tr>
<th>Table 4. Macrostructural Analysis of the Closure</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Conclusion</strong></td>
</tr>
<tr>
<td><strong>Discussion</strong></td>
</tr>
<tr>
<td><strong>Summary</strong></td>
</tr>
<tr>
<td><strong>Concluding Remarks</strong></td>
</tr>
<tr>
<td><strong>Afterword</strong></td>
</tr>
<tr>
<td><strong>Passing off</strong></td>
</tr>
<tr>
<td><strong>Disposition</strong></td>
</tr>
<tr>
<td><strong>Final arguments from the Judge / Lord / Lady</strong></td>
</tr>
<tr>
<td><strong>Annex</strong></td>
</tr>
<tr>
<td><strong>Postscript</strong></td>
</tr>
<tr>
<td><strong>Judicial Dicta</strong></td>
</tr>
<tr>
<td><strong>Costs</strong></td>
</tr>
<tr>
<td><strong>Concurring remarks.</strong></td>
</tr>
</tbody>
</table>

**[In some judgments from the County Court and Crown Court]**

**[Name of the Judge / Lord / Lady]**

**[Signature of the Judge / Lord / Lady]**

**[Date]**

6. Conclusion

At the beginning of our study we raised the following question:

How can we establish a macrostructural analysis in the heterogenous structure of court judgments from England and Wales?

We will summarise the main points below:
Firstly, we noticed the great variety of macrostructural sections in each of the court judgments from England and Wales. This is mainly visible in the heading and, especially, in the main body of the documents. This is due to a lack of a single codification that can set out the writing rules of court judgments. The internal macrostructure of judgments from England and Wales are developed from the Judge’s arguments throughout these instruments.

This lack of a codification implies, therefore, a more flexible macrostructure of English and Welsh judgments. As there is not a written codification that sets forth the internal structure of judgments, the Judge, Lord or Lady may have a wider autonomy and independence when they issue a court judgment. The Judge may have the opportunity to establish each and every fact that has occurred in the case in order to codify the essential details of such judgment, which becomes an individual code (“lex specialis”). Due to this reason, the English and Welsh court judgments are independent entities which are individually created by the Judges (Judge-made law), as they develop their Ratio Decidendi throughout their judgment.

These densely-argmented explanations by the Judge (Ratio Decidendi and Obiter Dicta) is extensive, with more than 30 paragraphs in most of the judgments under research. Therefore, most of them have been fragmented into several subdivisions with their own independent sections. Due to this reason, so as to avoid ambiguity, judgments take advantage of this freedom to divide them into several macrostructures with different, but clearly defined, sections in order to make them more understandable to the readers. Such complexity is also remarkable in other parts of the document. This is the case of the witness statements, very complex declarations in judgments issued at the Magistrates’ Court, Family Proceedings Court and, more distinctly, in the High Court (Chancery Division), with 31 witness statements in the case number [2014] EWHC 185 (Ch). This discourse development is also relevant in other judgments, such as the case number [2016] EWHC 181 (QB) from the High Court (Queen’s Bench Division).

The legislation macrostructure is another elaborate section of judgments from England and Wales, as Common Law judgments are based on the precedent. This is due to the importance of English and Welsh judgments to take court decisions. This feature can be observed in most of the Magistrates’ and Crown Courts.

Despite this codification omission that results in a macrostructural fragmentation, we can distinguish some recurrent structures in court judgments, even though they do not appear in the same order in all the documents (table 5):

**Table 5. General macrostructure summary in England and Wales judgments**

- Introduction.
- Background.
- The Law / Legislation.
- Evidence.
- Discussion.
- Conclusion.

The explanation for those macrostructures lies in the relevance within these judgments. In the first two macrostructures, the Judge establishes the unlawful event and provides the audience with several initial explanations to consider. In the macrostructure named “The Law”, judgments from England and Wales set the legal precedent, a cornerstone of Common Law. The “Evidence” includes the parametres within England and Wales judgments, according to the subsequent considerations (table 6):
Table 6. Usual parameters in judgment evidence

<table>
<thead>
<tr>
<th>Professional evidence</th>
</tr>
</thead>
<tbody>
<tr>
<td> Doctors.</td>
</tr>
<tr>
<td> Forensic experts.</td>
</tr>
<tr>
<td> Police Authorities.</td>
</tr>
<tr>
<td> Engineers, among others.</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Material evidence</td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>
|  Sound or photographic-based evidence.
|  Written-based evidence.             |

This macrostructure compiles the expert opinions that may establish further points to develop in the last two points of most judgments, Discussion and the Judge’s Conclusion.

Finally, we can state that an omission of a codification on how to write judgments has resulted in a heterogeneous macrostructure of English and Welsh judgments. This has a clear and immediate consequence: each Judge-made judgment constitutes an independent court document with its particular internal macrostructure that semantically unifies the whole instrument. Due to this reason, this paper has disclosed their most distinctive macrostructures in order to make it more understandable for both experts and non-expert readers.

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69. https://doi.org/10.4135/9780857028020